

**Geological risk in the use of TBMs in heterogeneous rock masses – The case of “Metro do Porto” and the measures adopted**

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## **Geological risk in the use of TBMs in heterogeneous rock masses - The case of “Metro do Porto” and the measures adopted**

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### **Abstract**

The highly variable nature of the deeply weathered Oporto granite posed significant challenges in the driving of the 2.3 km long C line and the 4 km long S line of the Oporto Metro project. Two 8.7 m diameter Herrenknecht EPB TBMs were used to excavate these tunnels and early problems were encountered due to over excavation and face collapse. Three collapses to surface occurred in the first 400 m of the C line drive and the last of these collapses resulted in the death of an occupant of a house on the surface. This resulted in a delay of almost 9 months to the project and the difficulty was finally resolved by the introduction of an Active Support System which involves the injection of pressurized bentonite slurry to compensate for deficiencies in the face support pressure when driving in mixed face conditions. Both the C and S lines have now been completed with minimal surface subsidence and no face instability.

### **Introduction**

In late 1998 the Municipality of Porto took a decision to upgrade its existing railway network to an integrated metropolitan transport system with 70 km of track and 66 stations. Seven kilometres of this track and 10 stations are located under the picturesque and densely populated city of Porto, a UNESCO world heritage site. A map of the surface and underground routes is presented in Figure 1.

Metro do Porto SA, a public company, is implementing the project with a concession period of 50 years. The design, construction and operation of this concession was awarded to Normetro, a joint venture including civil contractors, equipment and system manufacturers and an operator. The civil works design and construction was awarded to Transmetro, a joint venture of Soares da Costa, Somague and Impregilio.

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Figure 1: Map of Metro do Porto routes. Underground tunnels are Line C from Campanhã to Trindade and Line S from Salgueiros to São Bento.

The underground tunnel, driven by two Earth Pressure Balance (EPB) TBMs, has an internal diameter of 7.8 m and accommodates two tracks with trains running in opposite directions. Line C stretches 2,350 m from Campanhã to Trindade and has five underground stations, a maximum cover of 32 m and a minimum of 3m before reaching Trindade station. Line S is 3,950 m long and runs from Salgueiros to São Bento with 7 stations and a maximum overburden of 21 m.

Tunnel driving was started in August 2000 with the drive from Campanhã to Trindade. It was originally planned that the EPB TBM would be run with a partially full, unpressurized working chamber in the better quality granite in order to take advantage of the higher rates of advance in this mode as compared with operating with a fully pressurized working chamber. It was soon found that the highly variable nature of the rock mass made it extremely difficult to differentiate between the better quality rock masses in which the working chamber could be operated safely with no pressure and the weathered material in which a positive support pressure was required on the face. There were indications of over-excavation and there were two collapses to surface. The first occurred during excavation on 22 December 2000 between segments No. 318 and 327. The second was located between segments 291 and 298 and occurred on 12 January 2001, almost a month after the passage of the TBM on 16

to 18 December 2000. This collapse resulted in the death of a citizen in a house overlying the tunnel.

At the invitation of Professor Manuel de Oliveira Marques, Chief Executive Officer of Metro do Porto S.A., one of the authors (E.H) visited Porto from in early February 2001 to review the geotechnical and tunnelling issues of the C Line tunnel. As a result of this visit a Panel of Experts, consisting of the authors of this paper, was established and met in June 2001 in order to provide advice on the restart of the TBM drive.

### **Geological conditions**

The underground portion of the line passes through the granite batholith which was intruded into the Porto-Tomar regional fault in the late Hercinian period (Figure 2). The Porto Granite, a medium grained two mica granite, is characterized by deep weathering and the tunnel passes unevenly through six grades or weathering and alteration ranging from fresh granite (W1) to residual soil (W6). The granite is crossed randomly by aplitic/pegmatitic dykes which display much less weathering, following tectonically determined tension joints.

The particular feature of most engineering significance of the rock mass is its weathering. All weathering grades (W1 to W6, as established in the engineering geological classification according to the scheme proposed by the Geological Society of London, 1995) can be found. The depth of weathering is of the order of few tens of meters as weathering was assisted by the stress relief regime due to the deepening of Duro valley. Depths of weathering of 30m are reported in Begonha and Sequeira Braga, 2002. Hence, the ground behaviour varies from a strong rock mass to a low cohesion or even cohesionless granular soil. The granularity and frictional behaviour is retained as the kaolinitisation of feldspaths is not complete and the clay part not important. Furthermore, the spatial development of the weathered rock is completely irregular and erratic. The change from one weathered zone to another is neither progressive nor transitional. It is thus possible to move abruptly from a good granitic mass to a very weathered soil like mass. The thickness of the weathered parts varies very quickly from several meters to zero. Weathered material, either transported or in situ, also occurs in discontinuities.

A particularly striking feature is that, due to the erratic weathering of the granite, weathered zones of considerable size can be found under zones of sound granite (see Figure 3). While this phenomenon is an exception rather than the rule and it was expected to disappear with depth, it could not be ignored in the zone intersected by the construction of the metro works. A typical case of such setting is in Heroismo station where weathered granite with floating cores of granite occurs under a surficial part of a sound granitic rock mass (Figure 5).

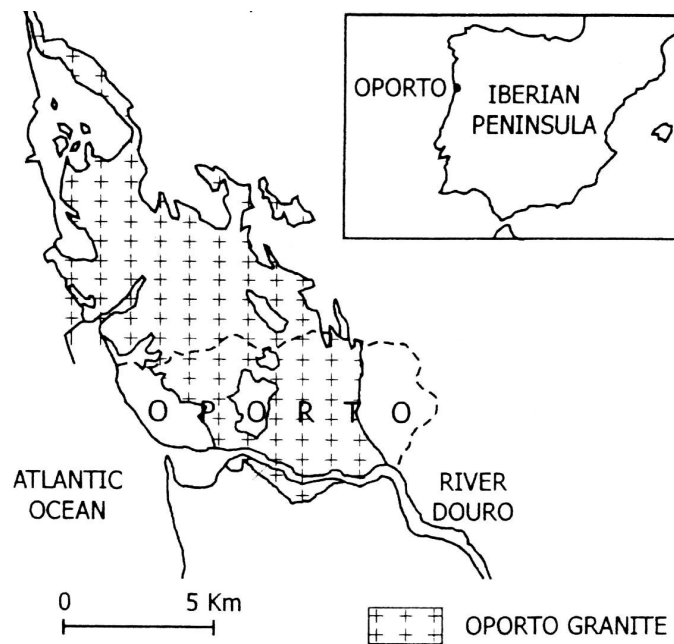


Figure 2: Distribution of granite in the City of Oporto (from A. Begonha and M. A. Sequeira Braga, 2002)



Figure 3: Appearance of different degrees of weathering in granite in a core recovered from a site investigation borehole on the tunnel alignment. Note that the weathered granite in the left box is at a depth of about 24m under the sound granite of the right box. This must therefore correspond to a huge boulder (core).



Figure 4: Appearance of Oporto granite in the face of an excavation for the new football stadium. Fracturing of the rock mass and heterogeneity of weathering is obvious

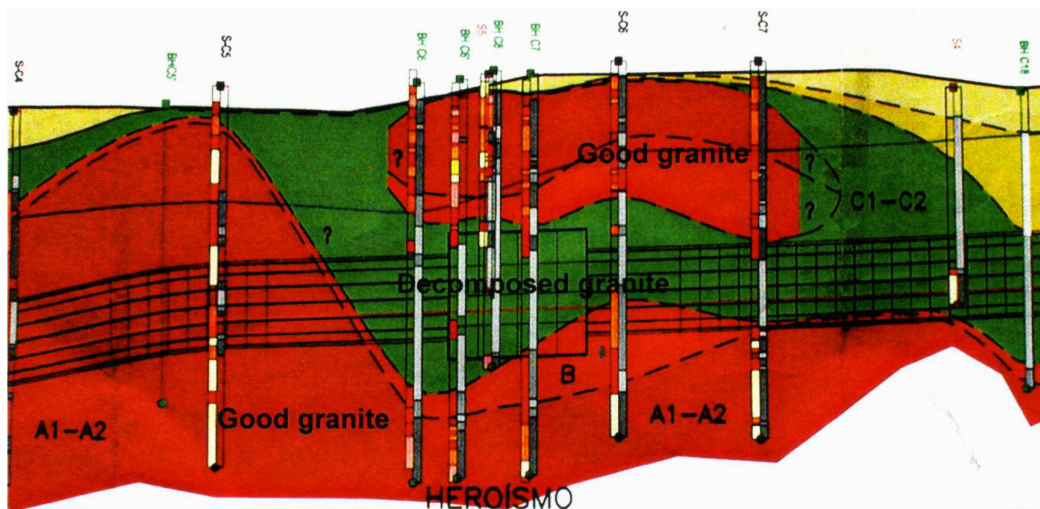


Figure 5: Predicted geology for the Heroísmo mined station (Assessment by Transmetro, documents of Metro do Porto). Heterogeneity in weathering and its erratic geometry is evident.



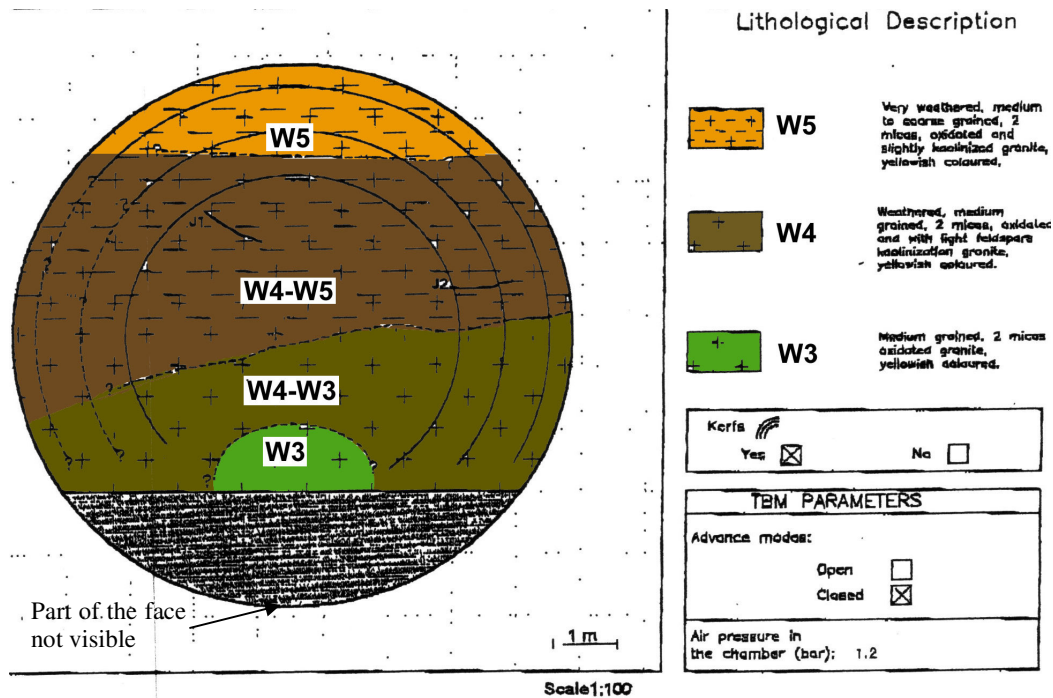


Figure 6: As typical distribution of weathered granite in the face of the EPB driven Tunnel

The permeability of the rock mass is dependent upon the weathering grade. In the less weathered rock the flow is related primarily to the fracture system while, in the more heavily weathered material, the ground behaves more like a porous medium. Porosity in the latter case may have been increased from leaching. Water supply, in the past, was by means of a large number of wells within the city and these, together with the highly variable permeability of the rock mass, have resulted in a very complex groundwater regime. The overall permeability is rather low of the order of  $10^{-6}$  m/s or lower. However good permeabilities were measured in pumping tests. We consider that preferential drainage paths exist within the granite mass. The very weathered material having little or no cohesion may be erodable under high hydraulic gradients.

### EPB TBM characteristics

The complex geological and hydrogeological conditions described above resulted in a decision by Transmetro to utilize an 8.7 m diameter Herrenknecht EPB TBM (see Fruguglietti et al. 1999, and 2001). Initially, only one machine was to be used to drive both lines but following start-up problems, a second machine was added in order to make it possible to complete the tunnel drives on schedule.

The TBMs are equipped with a soil conditioning system capable of injecting foam, polymer or bentonite slurry into the working chamber. Muck removal is by continuous belt conveyor from the TBM back-up to the portal and then by truck to the muck disposal areas. Tunnel lining is formed from 30 cm thick, 1.4 m wide pre-cast concrete segments. The lining comprises six segments and a key and dowel connectors are used in the radial joints while guidance rods are used in the longitudinal joints. The features of the EPB TBM are illustrated in Figure 7. In a review paper by N. Della Valle (Tunnels and Tunnelling, 2002) details are presented. In that paper issues proposed by the authors of the present paper are also described.

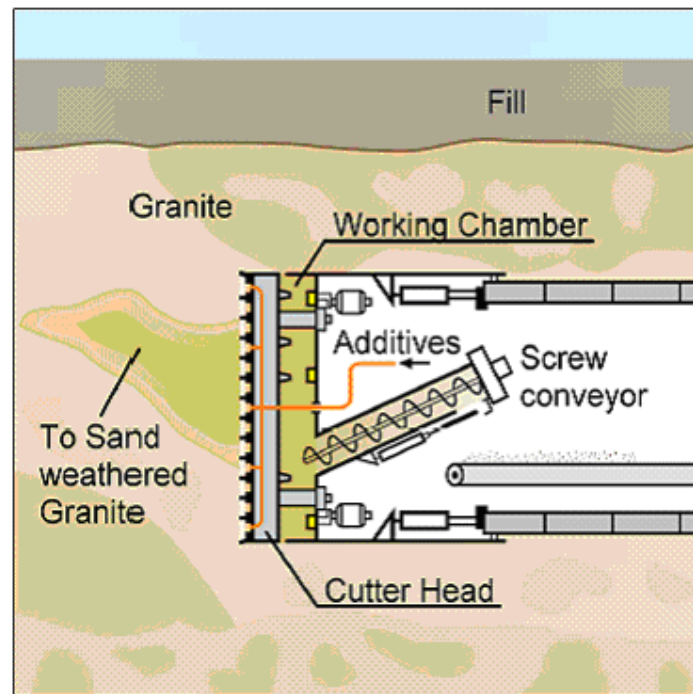


Figure 7: Characteristics of the Herrenknecht EPB TBM used in Oporto.

### Implications of geological conditions in terms of the TBM operation

The geological conditions discussed above can be translated to the following geological models in front, at the face and immediately above the TBM:

1. Granitic mass of sound or slightly weathered rock, no weathered material in the discontinuities;
2. Granitic mass of sound or slightly weathered rock but with very weathered material (filled or in situ) in substantial fractures; these fractures may communicate with overlying parts of completely weathered granite;



3. Very weathered or completely weathered granite, W5 (almost granular soil with little or no cohesion);
4. Very weathered or completely weathered granite with blocks of the rock core;
5. Mixed conditions with both sound mass and completely weathered granite appearing in the face.

In all cases the water table is above the tunnel crown

Only the first of these geological models can be excavated using an EPB TBM operating in an open mode. However, because of the unpredictable changes in the geological conditions described above, we considered that the risk of operating in an open mode was unacceptable unless there was unambiguous evidence that this condition persisted for a considerable length of tunnel drive. This was not the case in this tunnel and we recommended that the entire drive should be carried out with the TBM operating in a closed mode.

Indeed in all other models, uncontrolled over-excavation could occur unless the chamber of the machine was full of appropriately conditioned excavated material with the necessary pressurisation and control of the evacuation of the muck through the screw conveyor. Lack of adequate face support could result in piping of the weathered material in the fractures that could, in turn, induce collapse of the overlying weathered granite. The mixed face conditions described in item 5 above were considered to be particularly difficult because of the uneven pressure distribution on the face induced by the different stiffness of the rock and soil masses. The successful handling of this problem is discussed in a following section.

A significant number of wells and old galleries exist in the area and, while most were located on old city maps and by inspection of existing properties, there remained the possibility that some unpredicted wells and galleries could be encountered. The wells usually end above the tunnel but some were deep enough to interfere with the construction. The crossing of such features clearly involved some risk but this was substantially lower when operating the TBM in a fully closed and pressurised mode than in an open or partially open mode.

### **Face support pressure**

The face support pressure of EPB - TBMs is controlled by measuring the pressure at the bulkhead with pressure cells, approximately 1.5 m from the face, as shown in Figure 8. In closed mode operation, the working chamber is completely filled with conditioned excavated material, the earth paste. The earth paste is pressurized by the advancing forces induced by the advance jacks via the bulkhead. The pressure level is controlled by the effectiveness of the excavating cutter head in relation to the discharging screw conveyor.

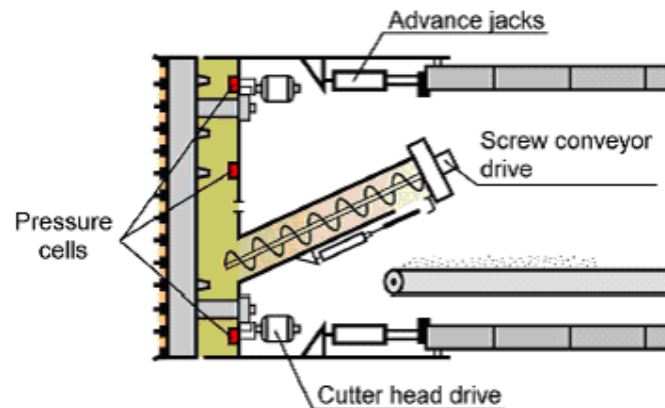


Figure 8: Measurement devices for face support pressure

To verify complete filling of the working chamber, the density of the earth paste in the working chamber is controlled by pressure cells on the bulkhead at different levels. This method satisfies the demand of preventing a sudden instability of the face caused by a partially empty working chamber but it does not guarantee a reliable face support pressure.

Pressure measurement at the bulkhead, 1.5 m behind the face, provides only partial information about the support pressure at the face. The support medium, the earth paste created from excavated ground, conditioned by a suspension with different additives, must have the physical properties of a viscous liquid. However, the shear resistance in that viscous liquid reduces the support forces which can be transferred onto the face. The shear resistance of the earth paste depends on the excavated ground and the conditioning, which is a complex and sensitive procedure. Consequently, the shear resistance of the support medium often varies considerably.

Therefore, the fluctuation of the face support pressure can exceed 0.5 bars. This fluctuation may be acceptable in homogeneous geology but in mixed ground, as found in the Oporto granite, the variable support pressure entails the danger of significant over excavation.

One of the processes which can cause a drop in the face support pressure is illustrated in Figure 9 which shows a situation in which the lower part of the face is in unweathered granite while the upper part of the face is in residual soil. A major part of the thrust of the machine is consumed by the cutter forces required to excavate the unweathered granite and there is a deficiency in the forces available to generate the pressure in the earth paste in the upper part of the working chamber. This results in an imbalance between the soil and water pressure in the unweathered granite and the support pressure in the upper part of the working chamber. If this deficiency is too large, the face will collapse inwards into the working chamber and this will result in progressive over excavation ahead and above the face.

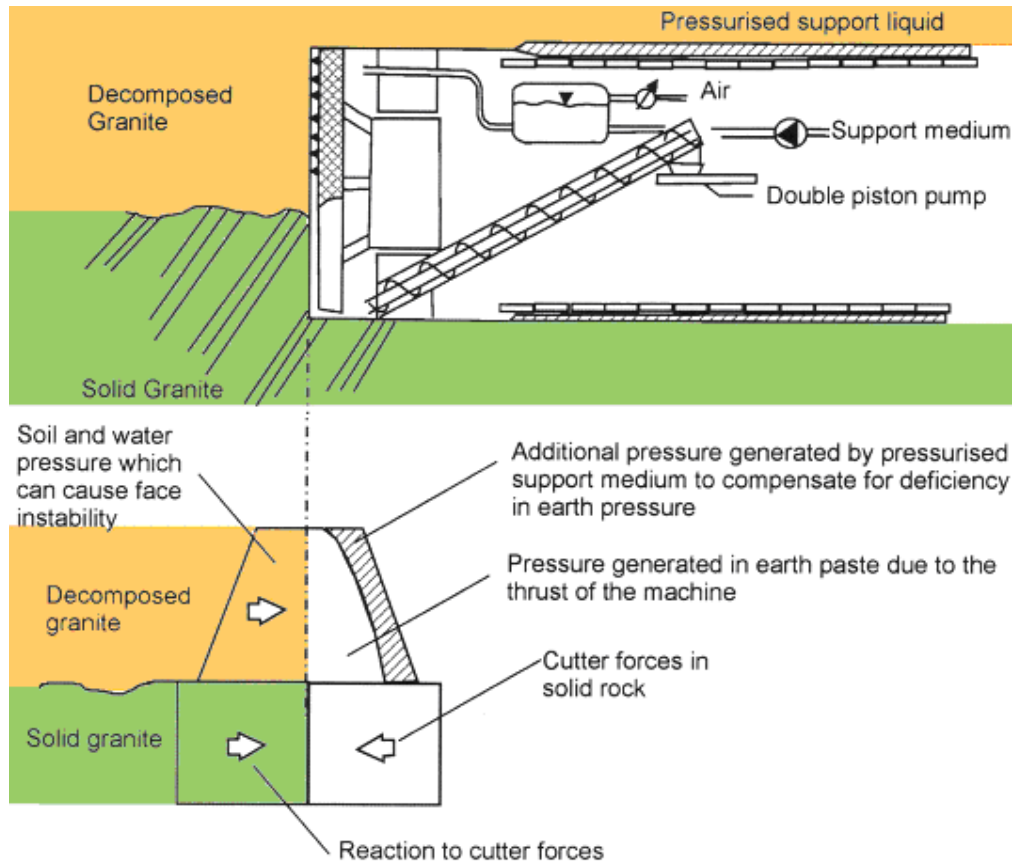


Figure 9: Face support pressures in mixed face conditions in Oporto granite. An Active Support System for overcoming the support pressure deficiency is also illustrated.

The deficiency of face support pressure can be compensated for by the addition of an Active Support System, proposed by Dr Siegmund Babendererde (one of the authors of this paper) and shown in Figure 9. This system is positioned on the back-up train and consists of a container filled with pressurized bentonite slurry linked to a regulated compressed air reservoir. The Bentonite slurry container is connected with the crown area of the working chamber of the EPB TBM. If the support pressure in the working chamber drops below a predetermined level, the Active Support System automatically injects pressurized slurry until the pressure level loss in the working chamber is compensated. The addition of this Active Support System to the EPB TMB results in an operation similar to that of a Slurry TBM. This automatic pressure control system reduces the range of fluctuations of the face support pressure to about 0.2 bar.

In the case of an open and potentially collapsible structure in the weathered granite surrounding the wells, resulting from leaching of the fines, we considered that stable face conditions could be maintained by the correct operation of the TBM in fully closed EPB mode with supplementary fluid pressure application. However, care was required in the formulation and preparation of the pressurizing fluid in order to ensure that an impermeable filter cake was formed at the face. This was necessary in order to prevent fluid loss into the open structure of the leached granite mass.

The application of the Active Support System in the Metro do Porto project was the first time that this system had been used. There was initial concern that the addition of the bentonite slurry would alter the characteristics of the muck to the point where it could no longer be contained on the conveyor system and that an additional slurry muck handling facility may be required. This concern proved to be unfounded since the volume of bentonite slurry injected proved to be very small and there was no discernable change on the characteristics of the muck.

The predetermined support pressure was determined from calculations using the method published by Anagnostou and Kovari (1996) which proved to be reliable for these conditions. The Active Support System was extremely effective in maintaining the predetermined support pressure and no serious face instability or over excavation problems were encountered after it was introduced. In fact, the system permitted the 8.7 m diameter tunnel to pass under old houses with a cover of 3 m to the foundations, without any pre-treatment of the ground. Surface settlements of less than 5 mm were measured in this case.

The Active Support System was also connected to the steering gap around the shield and the filling of this gap with bentonite slurry provided a reliable means of maintaining a predetermined pressure in this gap.

### **TBM cutter wear**

The Oporto granite is a highly abrasive material and, when broken down into the conditioned paste in the working chamber of an EPB TBM, it becomes a very effective grinding paste. Even in the very weathered form, the final weathering product contains the skeleton minerals, mainly quartz, which characterise the dominant granular fraction. For example, The average percentage of secondary minerals in both the bulk weathered rock or the bulk saprolite is low ~2,5% and ~9% respectively (A. Begonha and M. A. Sequeira Braga, 2002) This keeps abrasiveness high and proved to be a major problem in Oporto where cutter wear necessitated frequent replacement of cutters. On average, one cutter was consumed per running metre of tunnel drive and, in addition, there was severe wear of the cutter head construction. These problems are illustrated in Figures 10 and 11.



Figure 10: Typical wear of the disk cutter showing that the flanks of the disk are worn as quickly as the cutting surface.



Figure 11: Wear of the disk cutter bearings and bearing mounts. Disks that do not rotate freely wear asymmetrically while freely rotating disks are abraded as shown in Figure 10.

Numerous trials were carried out with different conditioning agents in an attempt to reduce cutter wear but none of these proved to be of any great help and the cutter wear problem persisted until the end of the tunnel drives. Some relief from the bearings and bearing mounts was obtained by welding a deflector wedge ahead of the cutter assembly as shown in Figure 12.



Figure 12: Addition of deflector wedges ahead of disk cutters helped to deflect paste from the bearing assembly.

## **Conclusions**

The highly variable characteristics of the weathered granite in Oporto and their sudden changes imposed substantial risks on the driving of the C and S lines by means of EPB TBMs. The impossibility of accurately predicting and maintaining the correct face support pressure resulted in significant over excavation and two collapses to surface during the first 400 m of the C line drive.

The introduction of the Active Support System, which involves the injection of pressurized bentonite slurry to compensate for deficiencies in the face support pressure when driving in mixed face conditions, proved to be a very effective solution. The remaining C and S line drives have now been completed without further difficulty although the rate of progress was less than that originally projected when the project was planned.

The final breakthrough of the C line drive is illustrated in Figure 13.





Figure 13: Final breakthrough of the TBM S-203 on the completion of the drive from Salgueiros to Trindade on Thursday 16 October 2003.

### Acknowledgements

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