

RocFall2

Simplified Input Parameters for Fragmentation Analysis

Theory Manual

Table of Contents

Introduction	3
1. Derivation of the Weibull parameters from simplified input parameters	4
1.1. Workflow for Simplified Fragmentation Input Parameters.....	4
1.2. Formula used for Force and derivation of the Work from tensile strength and young modulus	4
1.3. Weibull fitting	6
References	7

Introduction

The original fragmentation module proposed by Guccione et al. (2025) requires six input parameters for the rock block, four of which are estimated from Brazilian tensile tests (BT):

- Specimen diameter
- Critical force
- Weibull shape factor for force
- Critical work
- Weibull shape factor for work

and one from unconfined compression tests (UCS):

- E_i (Secant modulus).

Additionally, two optional parameters—Mode I fracture toughness and E_t (Tangent modulus)—are used to estimate energy loss during fragmentation.

In **RocFall2**, these original parameters are input via **Rock Type Library > Fragmentation tab > Advanced entry form**. The **Advanced entry form** can only be accessed by enabling **Advanced rock type entry** under **Project Settings**.

Rather than requiring the user to input all aforementioned parameters, a simplified methodology was developed because the distribution of force and work required to break discs in BT are rarely reported in standard laboratory results. The **Simplified entry form** is the default input form in the **Rock Type Library**. This simplified approach estimates the four Weibull parameters (critical force, Weibull shape factor for force, critical work, and Weibull shape factor for work) using only:

- Specimen diameter (mean and standard deviation)
- Tensile strength (mean and standard deviation)
- E_i (Intact secant modulus, mean and standard deviation)

These steps are performed internally in **RocFall2**. The two optional parameters for energy-loss estimation are not included in the simplified version.

Verification of this methodology is reported in the Verification Manual.

1. Derivation of the Weibull parameters from simplified input parameters

[RocFall2 Build 8.027]

1.1. Workflow for Simplified Fragmentation Input Parameters

The workflow can be summarized as follow:

1. Monte Carlo Sampling

Generate 100,000 random normal samples for the three input variables:

- Specimen diameter (mean and standard deviation)
- Tensile strength (mean and standard deviation)
- E_t (Secant modulus, mean and standard deviation)

2. Compute Force and Work Distributions

For each sample, apply:

- The standard formula for tensile strength in Brazilian tests
- A theoretical formula to estimate disc displacement during indirect tensile tests.

These calculations yield distributions of **force** and **work** required to break a disc.

3. Fit Weibull Distributions

Use the simulated force and work data to fit Weibull distributions, obtaining:

- Critical force and its shape factor
- Critical work and its shape factor

1.2. Formula used for Force and derivation of the Work from tensile strength and young modulus

The tensile strength in Brazilian tests (σ_t) is given by the ISRM standard (1978):

$$(1-1) \quad \sigma_t = \frac{2F_{BT}}{\pi dh}$$

Where F_{BT} is the failure load, and d and h are the diameter and thickness, respectively.

Assuming $h = d/2$, the force at failure becomes:

$$(1-2) \quad F_{BT} = \frac{\sigma_t \pi d^2}{4}$$

The work required to break the disc (W_{BT}) is the integral of the force F_{BT} over the displacement δ_{BT} :

$$(1-3) \quad W_{BT} = \int F_{BT} d\delta_{BT}$$

Substituting (1-2):

$$(1-4) \quad W_{BT} = \int \sigma_t \frac{\pi d^2}{4} d\delta_{BT} = \frac{\pi d^2}{4} \int \sigma_t d\delta_{BT}$$

Hertzian contact theory provides the total deformation when a disc is compressed against a flat surface. The total deformation $\delta_{BT(d)}$ for a disc compressed between steel platens can be expressed (modified from Japaridze L. 2015):

$$(1-5) \quad \delta_{BT(d)} = \frac{4 F_{BT(d)} (1 - \nu_b^2)}{\pi Y_b h} \left[0.41 + \ln(2d) - 0.5 \ln \left(\frac{2 d F_{BT(d)}}{\pi h \tilde{Y}_{bst}} \right) \right]$$

Where:

- Y_m is the elastic modulus of the rock;
- ν_b is the Poisson's ratio of the rock; assumed equal to 0.3;
- $\tilde{Y}_{bst}$ is an equivalent modulus for the rock-steel platen system defined as:

$$(1-6) \quad \frac{1}{\tilde{Y}_{bst}} = \left(\frac{1 - \nu_b^2}{Y_b} + \frac{1 - \nu_{st}^2}{Y_{st}} \right)$$

Y_b and Y_{st} are the elastic moduli of the rock and steel platens (equal to 210 GPa), respectively; ν_b and ν_{st} (equal to 0.3) are the Poisson's ratios of the rock and steel platens, respectively.

Expressing $\delta_{BT(d)}$ in terms of σ_t (details omitted for brevity):

$$(1-7) \quad \delta_{BT(d)} = 2d\sigma_t \frac{1 - \nu_b^2}{Y_b} \left(0.41 + \ln 2 - 0.5 \ln \frac{\sigma_t}{\tilde{Y}_{bst}} \right)$$

And then differentiating:

$$(1-8) \quad d\delta_{BT(d)} = 2d \frac{1 - \nu_b^2}{Y_b} \left(0.6 - 0.5 \ln \frac{\sigma_t}{\tilde{Y}_{bst}} \right) d\sigma_t$$

An explicit expression of the work as a function of the simplified input parameters (specimen diameter, tensile strength, and the young modulus) can be obtained by substituting equation (1-8) into equation (1-4):

$$(1-9) \quad W_{BT} = \pi d^3 \frac{1 - \nu_b^2}{Y_b} \frac{\sigma_t^2}{2} \left(0.16 + \ln 2 - 0.5 \ln \frac{\sigma_t}{\tilde{Y}_{bst}} \right)$$

1.3. Weibull fitting

Once the simulated force and work data are obtained, the Weibull fitting is computed to obtain the critical and shape factors. The critical value (F_{BT}^{cr} or W_{BT}^{cr}) corresponds to a survival probability equal to $1/e \sim 37\%$; and the shape parameter (m_{BT-F} or m_{BT-W}) corresponds to the slope of the central part of the Weibull distribution, which increases with decreasing of variability.

$$(1-10) \quad SP(F_{BT}) = e^{-\left(\frac{F_{BT}}{F_{BT}^{cr}}\right)^{m_{BT-F}}}$$

$$(1-11) \quad SP(W_{BT}) = e^{-\left(\frac{W_{BT}}{W_{BT}^{cr}}\right)^{m_{BT-W}}}$$

More details of the effect of Weibull parameters and other parameters on the fragmentation module can be found in Guccione et al 2021a, 2021b and 2022.

References

- Guccione DE, Barros G, Thoeni K, Huang Z, Giacomini A, Buzzi O (2025). A new stochastic rockfall fragmentation approach for lumped mass simulations. *Rock Mech Rock Eng.* <https://doi.org/10.1007/s00603-025-04743-x>
- Guccione DE, Buzzi O, Thoeni K, Fityus S, Giacomini A (2021a) Predicting the fragmentation survival probability of brittle spheres upon impact from statistical distribution of material properties. *Int J Rock Mech Min Sci.* 142:104768. <https://doi.org/10.1016/j.ijrmms.2021.104768>
- Guccione D.E., Buzzi O., Thoeni K., Fityus S., Butcher C., Giacomini A. (2021b). Sensitivity analysis of a new model to predict the survival probability of artificial rock blocks upon dynamic impact. EUROCK 2021.
- Guccione DE, Buzzi O, Thoeni K, Giacomini A, Fityus S (2022) Practical considerations for the application of a survival probability model for rockfall. *Aust Geomech J* 57(2):115–129
- ISRM (1978) Suggested methods for determining tensile strength of rock materials. *Int J Rock Mech Min Sci Geomech Abstr.* 15(3):99–103. [https://doi.org/10.1016/0148-9062\(78\)90003-7](https://doi.org/10.1016/0148-9062(78)90003-7)
- Japaridze L. Stress-deformed state of cylindrical specimens during indirect tensile strength testing. *J Rock Mech Geotech Eng.* 2015;7(5): 509-518. <https://doi.org/10.1016/j.jrmge.2015.06.006>